

# A Petri Net Based Method for Deriving Distributed Specification with Optimal Allocation of Resources

Hirozumi Yamaguchi  
Graduate School of Eng. Sci.  
Osaka University  
Toyonaka, Osaka, 560-8531, Japan  
h-yamagu@ics.es.osaka-u.ac.jp

Gregor v. Bochmann  
School of Info. Tech. and Eng.  
University of Ottawa  
Ottawa, Ontario, K1N 6N5, Canada  
bochmann@site.uottawa.ca

Khaled El-Fakih  
School of Info. Tech. and Eng.  
University of Ottawa  
Ottawa, Ontario, K1N 6N5, Canada  
kelfakih@site.uottawa.ca

Teruo Higashino  
Graduate School of Eng. Sci.  
Osaka University  
Toyonaka, Osaka, 560-8531, Japan  
higashino@ics.es.osaka-u.ac.jp

## Abstract

*In this paper, we present a method for the synthesis of extended Petri net based distributed specification. Although a lot of synthesis methods have been proposed, only a few synthesis methods have treated resources (computational data) such as databases and files. In contrast to previous methods that assume some fixed resource allocation, our method finds an optimal resource allocation that optimizes the derived distributed specification, based on some reasonable communication cost criteria. The method starts by identifying the set of rules for deriving a protocol specification from a given service specification. Based on these rules, an optimal resource allocation problem is formulated using an integer linear programming model. An example application is discussed.*

## 1. Introduction

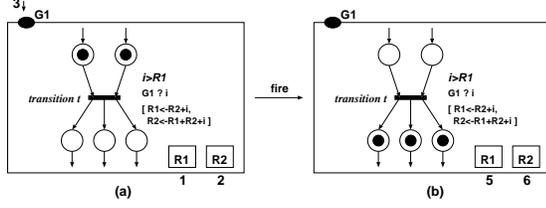
Synthesis methods have been used (for surveys see [2]) to derive a specification of a distributed system (hereafter called *protocol specification*) automatically from a given specification of the service to be provided by the distributed system to its users (called *service specification*). The service specification is written like a program of a centralized system, and does not contain any specification of the message exchange between different physical locations. However, the protocol specification contains the specification of communications between protocol entities (PE's) at the different locations.

A number of existing protocol synthesis strategies have

been described in the literature. The first strategy, [3, 6], aims at implementing complex control-flows using several computational models such as LOTOS and Petri nets. The second strategy, [7], aims at satisfying the timing constraints specified by a given service specification in the derived protocol specification. This strategy deals with real-time distributed systems. The last strategy, [8, 4, 5], deals with the management of distributed resources such as files and databases. The objective here, is to determine how the values of these distributed resources are updated or exchanged between PE's for a given fixed resource allocation on different physical locations.

Some methods in the last strategy have tried to derive a protocol specification with minimum communication costs. Especially, the methods presented in our previous research work [4, 8], minimize the number of messages exchanged between PE's for a given fixed resource allocation. However, in the context of distributed applications, one also has to decide on an optimal allocation of these resources, since this allocation significantly affects the communication costs of the derived PE's.

As an example, we consider a Computer Supported Cooperative Work (CSCW) software development process. This process is distributed among engineers (developers, designers, managers and others). Each engineer has his own machine (PE) and participates in the development process using distributed resources (drafts, source codes, object codes, multimedia video and audio files, and others) placed on different machines. Considering the need for using these resources between different computers, we would like to derive, using a protocol synthesis method, all engineers' sub-processes (protocol specification) knowing the whole software development process (service specification)



**Figure 1. Register Values and Token Locations before and after Firing of Transition in PNR**

and decide on an allocation of the resources that would minimize the communications costs.

In this paper, we propose a new method to derive a protocol specification with an optimal allocation of resources from a given service specification. Both service and protocol specifications are described using extended Petri nets. The method starts by identifying a set of rules for deriving a protocol specification. Based on these rules, an optimal resource allocation problem is formulated using an integer linear programming (ILP) model. This problem is about determining an optimal allocation of resources that minimizes the communication costs of the protocol specification. Our ILP model can also treat several reasonable cost criteria that could be used in various application areas for deriving protocol specifications. Particularly, we have considered the following cost criteria: (a) communication channel costs, (b) the size of messages to be exchanged between different PE's, (c) the number of messages based on frequency of executions, and (d) resource placement costs.

## 2. Service Specification and Protocol Specification

### 2.1. Petri Net Model with Registers

We use an extended Petri net model called a *Petri Net with Registers* (PNR in short) to describe both service and protocol specifications of a distributed system.

Each transition  $t$  in PNR has a label  $\langle \mathcal{C}(t), \mathcal{E}(t), \mathcal{S}(t) \rangle$ , where  $\mathcal{C}(t)$  is a pre-condition statement (one of the firing conditions of  $t$ ),  $\mathcal{E}(t)$  is an event expression (which represents I/O) and  $\mathcal{S}(t)$  is a set of substitution statements (which represents parallel updates of data values). Consider, for example, transition  $t$  of Fig. 1 where  $\mathcal{C}(t) = "i > R_1"$ ,  $\mathcal{E}(t) = "G_1 ? i"$  and  $\mathcal{S}(t) = "R_1 \leftarrow R_2 + i, R_2 \leftarrow R_1 + R_2 + i"$ .  $i$  is an input variable, which keeps an input value and its value is referred by only the transition  $t$ .  $R_1$  and  $R_2$  are registers, which keep assigned values until new values are assigned, and their values may be referred and updated by all the transitions in PNR (that is, global variables).  $G_1$  is a gate, a service access point (interaction point) between

users and the system. Note that "?" in  $\mathcal{E}(t)$  means that  $\mathcal{E}(t)$  is an input event.

A transition may fire if (a) each its input place has one token, (b) the value of  $\mathcal{C}(t)$  is true and (c) an input value is given through the gate in  $\mathcal{E}(t)$  (if  $\mathcal{E}(t)$  is an input event). Assume that an integer of value *three* has been given through gate  $G_1$ , and the current values of registers  $R_1$  and  $R_2$  are 1 and 2, respectively. In this case the value of " $i > R_1$ " is true and the transition may fire. If it fires, the event " $G_1 ? i$ " is executed and the input value *three* is assigned to input variable  $i$ . Then " $R_1 \leftarrow R_2 + i$ " and " $R_2 \leftarrow R_1 + R_2 + i$ " are executed in parallel. Therefore after the firing, the tokens are moved and the values of registers  $R_1$  and  $R_2$  are changed to five ( $= 2 + 3$ ) and six ( $= 1 + 2 + 3$ ), respectively (Fig. 1(b)).

Formally,  $\mathcal{E}(t)$  is one of the following three events: " $G_s !exp$ ", " $G_s ?iv$ ", or " $\tau$ ". " $G_s !exp$ " is an output event and it means that the value of expression " $exp$ ", whose arguments are registers, is output through gate  $G_s$ . " $G_s ?iv$ " is an input event and it means that the value given through  $G_s$  is assigned to the input variable " $iv$ ". " $\tau$ " is an internal event, which is unobservable from the users.  $\mathcal{S}(t)$  is a set of substitution statements, each of the form " $R_w \leftarrow exp_w$ ", where  $R_w$  is a register and  $exp_w$  is an expression whose arguments are from the input variable in  $\mathcal{E}(t)$  and registers. If  $t$  fires,  $\mathcal{E}(t)$  is executed followed by the parallel execution of statements in  $\mathcal{S}(t)$ .

### 2.2. Service Specification

At a highly abstracted level, a distributed system is regarded as a centralized system which works and provides services as a single "virtual" machine. The number of actual PE's and communication channels among them are hidden. The specification of the distributed system at this level is called a *service specification* and denoted by  $S_{spec}$ .

Actual resources of a distributed system may be located on some physical machines, called protocol entities. However, only one virtual machine is assumed at this level.

Fig. 2(a) shows  $S_{spec}$  of a simple database system which has only three transitions. The system receives a keyword (input variable  $i_1$ ) through gate  $G_1$ , retrieves an entry corresponding to the keyword from a database (register  $R_1$ ), and stores the result to register  $R_2$ . This is done on transition  $t_1$ . Then the system receives another keyword (input variable  $i_2$ ) through gate  $G_2$ , retrieves an entry corresponding to the keyword and the retrieved entry (register  $R_2$ ) from another database (register  $R_3$ ), and stores the result to register  $R_4$ . This is done on transition  $t_2$ . Finally the system outputs the second result (the value of register  $R_4$ ) through  $G_1$  and returns to the initial state.

### 2.3. Protocol Specification

A distributed system is a communication system which consists of  $p$  protocol entities  $PE_1, PE_2, \dots$  and  $PE_p$ . We

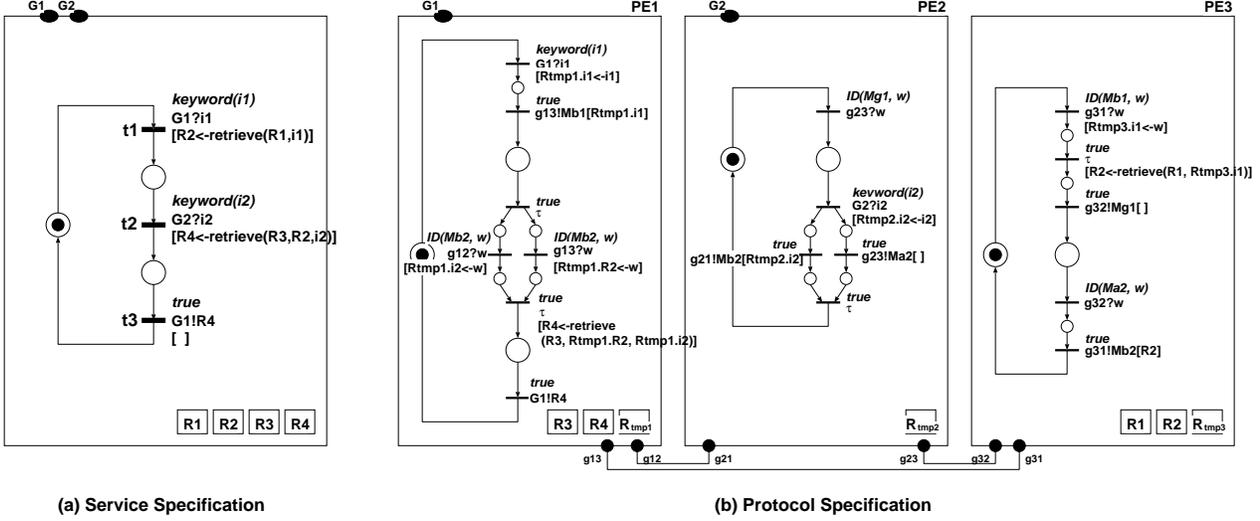


Figure 2. Service Specification and Protocol Specification

assume a duplex and reliable communication channel with infinite capacity buffers at both ends, between any pair of  $PE_i$  and  $PE_j$ . The  $PE_i$  ( $PE_j$ ) side of the communication channel is represented as gate  $g_{ij}$  ( $g_{ji}$ ). Moreover, we assume that some resources (registers and gates) are allocated to certain PE's of the distributed system.

Two PE's communicate with each other by exchanging messages. If  $PE_i$  executes an output event " $g_{ij}!M[R_w]$ ", the value of register  $R_w$  located on  $PE_i$  is sent to  $PE_j$  through the communication channel between them and put into the buffer at  $PE_j$ 's end.  $M$  is an identifier to distinguish several values which may exist at the same time on the same channel.  $PE_j$  can take the value identified by  $M$  from the buffer, by executing an input event " $g_{ji}?w$ " with a pre-condition  $ID(M, w)$ .  $ID(M, w)$  is a predicate whose value is true iff the identifier in input variable  $w$  is  $M$ . Note that more than one register's or input variable's value can be sent at a time. If a received data contains multiple values, they are distinguished by suffix such as  $w.R_1$  and  $w.i$ . A set of an identifier and register/input values is called a message. A message may contain no value and sending such a message is represented as an output event " $g_{ij}!M[ ]$ ".

In order to implement a distributed system which consists of  $p$  PE's, we must specify the behavior of these PE's. A specification of  $PE_k$  is called a *protocol entity specification* and denoted by  $Pspec_k$ . A set of  $p$  protocol entity specifications  $\langle Pspec_1, \dots, Pspec_p \rangle$  is called a *protocol specification* and denoted by  $Pspec^{(1,p)}$ . We need a protocol specification to implement the distributed system.

As an example, let us assume that there are three PE's  $PE_1$ ,  $PE_2$  and  $PE_3$  in order to implement the service specification of Fig. 2(a). We also assume that an allocation of resources to these PE's has been fixed as follows.  $PE_1$  has the gate  $G_1$  and the registers  $R_3$  and  $R_4$ ,  $PE_2$  has the gate

$G_2$ , and  $PE_3$  has the registers  $R_1$  and  $R_2$ . Note that in addition to these registers, we assume that each  $PE_i$  has another register  $Rtmp_i$  to keep received values given through gates (inputs and message contents)<sup>1</sup>. Fig. 2(b) shows an example of  $Pspec^{(1,3)}$ , which provides the service of Fig. 2(a), based on this allocation of resources.

According to the specification of Fig. 2(b),  $PE_1$  first receives an input (input variable  $i_1$ ) through  $G_1$  and stores it to  $Rtmp_{1.i_1}$ . Then it sends the value of  $Rtmp_{1.i_1}$  to  $PE_3$  as a message, since  $PE_3$  needs the value of  $i_1$  to change the value of  $R_2$ .  $PE_3$  receives and stores the value to  $Rtmp_{3.i_1}$ . Then it changes the value of  $R_2$  using its own value and the value of  $Rtmp_{3.i_1}$ , and sends a message to  $PE_2$ . When  $PE_2$  receives the message,  $PE_2$  knows that it can now check the value of  $\mathcal{C}(t_2)$  and execute  $\mathcal{E}(t_2)$ .  $PE_2$  receives an input (input variable  $i_2$ ), stores it to  $Rtmp_{2.i_2}$ , and sends two messages. One is to send the value of  $i_2$  to  $PE_1$  and another is to incite  $PE_3$  to send the value of  $R_2$  to  $PE_1$ .  $PE_1$  receives these values and stores them to  $Rtmp_{1.i_2}$  and  $Rtmp_{1.R_2}$ , respectively. Then it changes the value of  $R_4$ . Finally,  $PE_1$  outputs the value of  $R_4$  and  $PE_1$ ,  $PE_2$  and  $PE_3$  return to their initial states.

### 3. Protocol Derivation

A method for deriving a protocol specification from a given service specification is described in this section. It is based on the simulation of each transition  $t_x = \langle \mathcal{C}(t_x), \mathcal{E}(t_x), \mathcal{S}(t_x) \rangle$  of the service specification by corre-

<sup>1</sup> $Rtmp_i$  can contain several values. The values can be distinguished by adding the name of the value as suffix, such as  $Rtmp_{1.R_3}$ . Here, we can realize such a register that contains several values, by using several registers. However, for simplicity of discussion, we use these registers.

sponding PE's in the protocol specification. The principle of the method introduced in this paper is as follows.

- The PE that has gate  $G_s$  used in  $\mathcal{E}(t_x)$  (say  $\text{PEstart}(t_x)$ ) checks the value of  $\mathcal{C}(t_x)$  (pre-condition statement) and executes  $\mathcal{E}(t_x)$  (event expression).
- After that, the PE sends messages called  $\alpha$ -messages to the PE's which have the registers used in the arguments of  $\mathcal{S}(t_x)$  (substitution statements).
- In response, these PE's send the register values to the PE's which have the registers to be updated in  $\mathcal{S}(t_x)$  ( $\text{PEsubst}(t_x)$  denotes the set of those PE's) as messages called  $\beta$ -messages.
- The substitution statements are executed and notification messages called  $\gamma$ -messages are sent to those PE's which will start the execution of the next transitions.

In Fig. 3, we present the details of our derivation method as a set of rules which specify how PE's execute each transition  $t_x$  of  $\text{Spec}$ . These rules are further classified into action and message rules. Action rules specify which PE checks the pre-condition and executes the event and substitution statements of  $t_x$ . Message rules specify how the PE's exchange messages, and the contents and types of these messages.

Three types of messages are exchanged for the execution of  $t_x$ . (1)  $\alpha$ -messages are sent by the PE that starts the execution of  $t_x$  (i.e.  $\text{PEstart}(t_x)$ ) to inform those PE's who need to send their registers' values to other PE's, that they can go ahead and send these values. Thus, an  $\alpha$ -message does not contain values of registers. (2)  $\beta$ -messages are sent in order to let each PE which executes some substitution statements of  $t_x$  (i.e.  $\text{PE}_k \in \text{PEsubst}(t_x)$ ), know the timing and some values of registers' it needs for executing these statements. (3)  $\gamma$ -messages are sent to each  $\text{PE}_m \in \text{PEstart}(t_x \bullet \bullet)$ , note that  $t_x \bullet \bullet$  is the set of each next transition of  $t_x$ , to let it know the timing and some values of registers it needs to start executing the next transitions (i.e. transitions in  $t_x \bullet \bullet$ ).

## 4. Optimal Resource Allocation

### 4.1. On Optimal Resource Allocation

In our previous work[8], we have shown that the number of messages exchanged between different PE's for the execution of a transition in  $\text{Spec}$  may not be unique even for a given fixed allocation of resources. This is due to the fact that a resource may be allocated to more than one PE and several resource values may be sent in one message. However, due to the limitations of our assumption that the resources are fixed, more messages have to be exchanged between the derived PE's, if these resources are not already optimally allocated between different PE's.

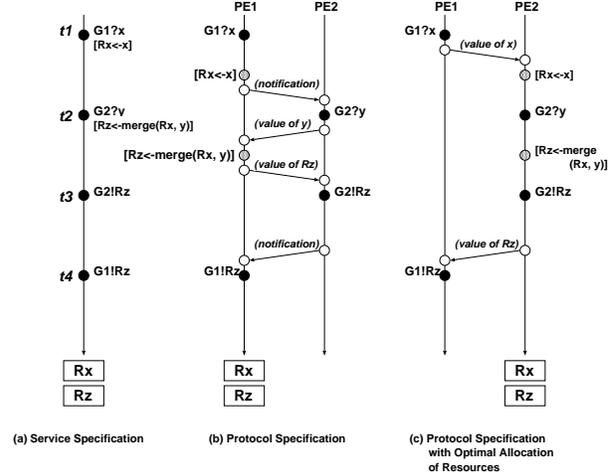


Figure 4. Optimal Allocation

For illustration, we give a simple example which describes a process of merging two codes (input variables  $x$  and  $y$ ) into one code (register  $R_z$ ) developed by two engineers (assigned to gates  $G_1$  and  $G_2$ ) on different sites,  $\text{PE}_1$  and  $\text{PE}_2$ . Fig. 4(a) shows the service specification, and its corresponding protocol specifications with different resource allocations are given in Fig. 4(b) and in Fig. 4(c). The protocol specification of Fig. 4(b) needs four messages, while that of Fig. 4(c) needs only two.

In most realistic applications, one may want to consider some other communication cost criteria along with the number of messages exchanged during protocol derivation. If we consider, for example, the different costs of placing resources on different PE's, then deciding on an optimal allocation of these resources would significantly affect the communication costs of a derived specification.

We consider communication costs as an important element in the development of distributed applications. To reduce these costs, an optimal allocation of resources that minimizes them has to be determined. In the following subsection, we build a model that decides on an optimal allocation that minimizes the number of messages exchanged between different PE's, then later in Section 5 we incorporate into this model some other cost criteria that we consider important for deriving distributed specifications with minimum communication costs.

### 4.2. Integer Linear Programming Model for Protocol Derivation with Minimum Communication Costs

We introduce the following 0-1 variables in order to determine, using our derivation method, an optimal resource allocation that minimizes the number of messages exchanged between different PE's.

We let  $t_x = \langle \mathcal{C}(t_x), \mathcal{E}(t_x), \mathcal{S}(t_x) \rangle$  be a transition of  $Sspec$ .

**[Action Rules]**

- (A<sub>1</sub>) The PE which has the gate appearing in  $\mathcal{E}(t_x)$  (denoted by  $G_s$ ) checks that
- the value of  $\mathcal{C}(t_x)$  is true,
  - the execution of the previous transitions of  $t_x$  has been finished and
  - an input has been given through  $G_s$  if  $\mathcal{E}(t_x)$  is an input event.

Then the PE executes  $\mathcal{E}(t_x)$ . This PE is denoted by  $PEstart(t_x)$ .

- (A<sub>2</sub>) After (A<sub>1</sub>), the PE's which have at least one register whose value is changed in the substitution statements  $\mathcal{S}(t_x)$  execute the corresponding statements in  $\mathcal{S}(t_x)$ . The set of these PE's is denoted by  $PEsubst(t_x)$ .

**[Message Rules]**

- (M<sub>β1</sub>) Each  $PE_k \in PEsubst(t_x)$  must receive at least one  $\beta$ -message from some PE's (each called  $PE_j$ ) in order to know the timing and values of registers it needs for executing its substitution statements (see (M<sub>β2</sub>)), except where  $PE_k = PEstart(t_x)$ , in this case  $PE_k$  already knows the timing to start executing its substitution statements of  $t_x$ .
- (M<sub>β2</sub>) If  $PE_k \in PEsubst(t_x)$  needs the value of some register (say  $R_z$ ) in order to execute its substitution statements, then  $PE_k$  must receive  $R_z$  through a  $\beta$ -message if  $R_z$  is not in  $PE_k$ .
- (M<sub>β3</sub>) Each  $PE_j$  that sends some values of registers to  $PE_k \in PEsubst(t_x)$  through a  $\beta$ -message, knows the timing to send these values by receiving an  $\alpha$ -message from  $PEstart(t_x)$ . Note, if  $PE_j = PEstart(t_x)$  then  $PE_j$  knows the timing to send these values without receiving an  $\alpha$ -message.
- (M<sub>α</sub>) After (A<sub>1</sub>), the only PE that can send  $\alpha$ -messages to the PE's which need them is  $PEstart(t_x)$ .
- (M<sub>γ1</sub>) Each  $PE_m \in PEstart(t_x \bullet \bullet)$ , where  $t_x \bullet \bullet$  is the set of next transitions of  $t_x$ , must receive a  $\gamma$ -message from each  $PE_k \in PEsubst(t_x)$  after (A<sub>2</sub>), except where  $m = k$ . This allows  $PE_m$  to know that the execution of the substitution statements of  $t_x$  had been finished.
- (M<sub>γ2</sub>) Each  $PE_m \in PEstart(t_x \bullet \bullet)$  must receive at least one  $\gamma$ -message from some  $PE_l$  (where  $m \neq l$ ) in order to know that the execution of  $t_x$  had been finished and/or to know some values of registers it needs to evaluate and execute its condition and event expression, respectively.
- (M<sub>γ3</sub>) Each  $PE_l$  that sends a  $\gamma$ -message to  $PE_m \in PEstart(t_x \bullet \bullet)$  :
- must be in  $PEsubst(t_x)$  (see (M<sub>γ1</sub>)), or
  - must receive an  $\alpha$ -message from  $PEstart(t_x)$  to know the timing to send the  $\gamma$ -message to  $PE_m$ , or
  - it is itself  $PEstart(t_x)$ . In this case,  $PE_l$  sends the  $\gamma$ -message to let  $PE_m$  know the timing and/or some values of registers to start evaluating and executing its condition and event expressions.
- (M<sub>γ4</sub>) If  $PE_m \in PEstart(t_x \bullet \bullet)$  needs the value of some register (say  $R_v$ ) in order to evaluate and/or execute its substitution statements, then  $PE_m$  must receive  $R_v$  through a  $\gamma$ -message if  $R_v$  is not in  $PE_m$ .

**Figure 3. Derivation Method in Detail**

- Each of the following variables represent the fact that a message is sent from one PE to another.
  - $\alpha_{p,q}^x$  ( $\beta_{p,q}^x$ ,  $\gamma_{p,q}^x$ ): its value is one iff an  $\alpha$ -message ( $\beta$ -,  $\gamma$ -) is sent from  $PE_p$  to  $PE_q$  in the execution of transition  $t_x$ ; otherwise zero.
  - $\beta_{p,q}^x[R_w]$  ( $\gamma_{p,q}^x[R_w]$ ): its value is one iff the  $\beta$ - ( $\gamma$ -) message sent from  $PE_p$  to  $PE_q$  contains the value of register  $R_w$ ; otherwise zero.
- $ALC_p[G_s]$  ( $ALC_p[R_w]$ ): its value is one iff gate  $G_s$  (register  $R_w$ ) is allocated to  $PE_p$ ; otherwise zero.
- $PEstart_p^x$ : its value is one iff  $PE_p$  starts the execution of  $t_x$ ; otherwise zero.
- $PEsubst_p^x$ : its value is one iff  $PE_p$  executes one or more substitution statements of  $t_x$ ; otherwise zero.

Using the above variables, we determine an optimal resource allocation that minimizes the number of messages exchanged between different PE's by minimizing the following objective function, subject to constraints (1) to (16) described below.

Objective Function:

$$\text{Min} : \sum_x \sum_p \sum_q (\alpha_{p,q}^x + \beta_{p,q}^x + \gamma_{p,q}^x)$$

The following constraints are derived from the definition of their variables. According to Constraint (1), if a  $\beta$ -message is sent from  $PE_j$  to  $PE_k$  in the execution of  $t_x$  and it contains the value  $R_w$ , then this message should have been sent through a  $\beta$ -message. Moreover, in order for  $PE_j$  to send  $R_w$ ,  $R_w$  should be allocated to it. The same reasoning applies to Constraint (2).

$$\beta_{j,k}^x + ALC_j[R_w] - 2\beta_{j,k}^x[R_w] \geq 0 \quad (1)$$

$$\gamma_{l,m}^x + ALC_m[R_w] - 2\gamma_{l,m}^x[R_w] \geq 0 \quad (2)$$

According to rule (A<sub>1</sub>), the PE that has the gate  $G_s$  appearing in event expression  $\mathcal{E}(t_x)$  (say  $G_s$ ) must be the one that executes this expression (*i.e.*  $PEstart(t_x)$ ).

$$PEstart_i^x - ALC_i[G_s] = 0 \quad (3)$$

According to rule (A<sub>2</sub>), each PE that has a register  $R_w$  whose value is changed in the set of substitution statements  $S(t_x)$ , must be the one that executes this substitution statement.

$$PEsubst_k^x - ALC_k[R_w] \geq 0 \quad (4)$$

$$\sum_w ALC_k[R_w] - PEsubst_k^x \geq 0 \quad (5)$$

Constraints (6) to (13) directly correspond to message exchange rules (M<sub>β1</sub>) to (M<sub>γ4</sub>) of Fig. 3.

The following Constraint corresponds to rule (M<sub>β1</sub>). It means that at least one  $\beta$ -message should be sent to PE<sub>k</sub> or PE<sub>k</sub> = PEstart( $t_x$ ), if PE<sub>k</sub> ∈ PEsubst( $t_x$ ).

$$\sum_j \beta_{j,k}^x + PEstart_k^x - PEsubst_k^x \geq 0 \quad (6)$$

Constraint (7) corresponds to rule (M<sub>β2</sub>).

$$\sum_j \beta_{j,k}^x[R_z] + ALC_k[R_z] - ALC_k[R_w] \geq 0 \quad (7)$$

Constraint (8) corresponds to rule (M<sub>β3</sub>).

$$PEstart_j^x + \sum_i \alpha_{i,j}^x - \beta_{j,k}^x \geq 0 \quad (8)$$

Constraint (9) corresponds to rule (M<sub>α</sub>).

$$PEstart_i^x - \alpha_{i,j}^x \geq 0 \quad (9)$$

Constraint (10) corresponds to rule (M<sub>γ1</sub>).

$$\gamma_{k,m}^x - PEsubst_k^x - PEstart_m^x \geq -1 \quad (10)$$

Constraint (11) corresponds to rule (M<sub>γ2</sub>).

$$\sum_l \gamma_{l,m}^x + PEstart_m^x + PEsubst_m^x - PEstart_m^y \geq 0 \quad (11)$$

Constraint (12) corresponds to rule (M<sub>γ3</sub>).

$$PEstart_l^x + \sum_i \alpha_{i,l}^x + PEsubst_l^x - \gamma_{l,m}^x \geq 0 \quad (12)$$

Constraint (13) corresponds to rule (M<sub>γ4</sub>).

$$\sum_l \gamma_{l,m}^x[R_v] + ALC_m[R_v] \geq 1 \quad (13)$$

Constraints (14) and (15) restrict the possible number of PE's which have a gate  $G_s$  and a register  $R_w$ , respectively. Note, as described in Constraint (16), we use a register (called  $Rtmp_i$ ) in  $PEstart_i^x$  to save the input variable used in the event expression of  $t_x$  (say  $i^x$ ).

$$\sum_i ALC_i[G_s] = 1 \quad (14)$$

$$\sum_i ALC_i[R_w] \geq 1 \quad (15)$$

$$ALC_i[Rtmp_i.i^x] = PEstart_i^x \quad (16)$$

## 5. Other Cost Criteria

In this section, we present and incorporate into our ILP model some cost criteria that could be used in minimizing the communication costs of the derived protocol specification. One may select or combine these criteria according to the application area and its underlying network architecture.

**Considering Communication Channels Costs** For application areas that use communication channels with different channel costs, we let  $ChannelCost_{p,q}$  denote the cost to send a message from PE<sub>p</sub> to PE<sub>q</sub>. Then we incorporate these costs into our ILP model as follows:

$$\text{Min : } \sum_x \sum_p \sum_q ChannelCost_{p,q} * (\alpha_{p,q}^x + \beta_{p,q}^x + \gamma_{p,q}^x)$$

**Considering Size of Messages** In most application areas, the size of resources exchanged between different PE's plays an important factor in determining their communication costs. We let  $Size[R_w]$  denote the size of resource  $R_w$ , and reformulate our ILP model objective function as shown below.

$$\text{Min : } \sum_x \sum_p \sum_q (\alpha_{p,q}^x + \beta_{p,q}^x + \gamma_{p,q}^x) + \sum_w Size[R_w] * (\beta_{p,q}^x[R_w] + \gamma_{p,q}^x[R_w])$$

Note that we consider the size of messages that do not contain values of registers relatively small (*i.e.* equal to one).

**Considering Execution Frequencies of Transitions** In some application areas, the structure of the service specification includes many loops and each loop includes many transitions. Consequently, in such areas, one might want to consider the frequencies of transitions execution during the protocol derivation. In general, this is a dynamic property of the system, however, an approximation of the firing

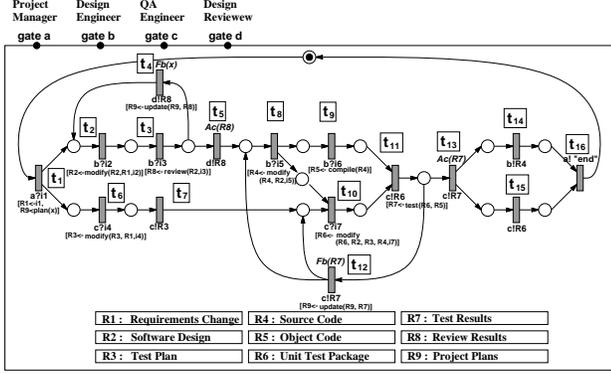


Figure 5. A Workflow of Software Development

	site <sub>1</sub>	site <sub>2</sub>	site <sub>3</sub>
site <sub>1</sub>		1	10
site <sub>2</sub>	1		5
site <sub>3</sub>	10	5	

Table 2. Channel Costs

$R_1$	$R_2$	$R_3$	$R_4$	$R_5$	$R_6$	$R_7$	$R_8$	$R_9$
10	50	20	100	200	30	10	5	5

Table 3. Sizes of Resources

$F^1$	$F^2$	$F^3$	$F^4$	$F^5$	$F^6$	$F^7$	$F^8$
1	4	4	3	1	1	1	10
$F^9$	$F^{10}$	$F^{11}$	$F^{12}$	$F^{13}$	$F^{14}$	$F^{15}$	$F^{16}$
10	10	10	9	1	1	1	1

Table 4. Firing Frequencies of Transitions

frequency may be derived by firing vector analysis or simulation of Petri nets [1], which has been investigated extensively.

Let  $F^x$  denote the (approximate) firing frequency of a transition  $t_x$ . We incorporate  $F^x$  into our ILP model as shown below.

$$\text{Min} : \sum_x F^x * \sum_p \sum_q (\alpha_{p,q}^x + \beta_{p,q}^x + \gamma_{p,q}^x)$$

**Considering Resource Placement Costs** In application areas where there are major differences in the costs of placing resources on different physical locations (PE's), one might want to consider these differences during protocol derivation. We let  $PlaceCost_p[R_w]$  denote the cost of placing resource  $R_w$  on PE<sub>p</sub>, and we formulate our ILP model objective function as follows:

$$\text{Min} : \sum_x \sum_p \sum_q (\alpha_{p,q}^x + \beta_{p,q}^x + \gamma_{p,q}^x) + \sum_p \sum_w PlaceCost_p[R_w] * ALC_p[R_w]$$

## 6. Application and Experimental Results

Protocol synthesis methods have been applied to many applications such as communication protocols, factory manufacturing systems, distributed cooperative work management and so on. In this section, we apply our derivation method to the distributed development of software which involves four engineers (project manager, design engineer, quality assurance engineer, and design reviewer), in three different connected development sites (site<sub>1</sub>, site<sub>2</sub>, and site<sub>3</sub>, respectively). The software development process includes modification and compilation of source code, test of

Resource / Engineer	site <sub>1</sub>	site <sub>2</sub>	site <sub>3</sub>
$R_1$	1	10	10
$R_2$	1	1	3
$R_3$	10	8	1
$R_4$	7	1	1
$R_5$	7	14	1
$R_6$	15	10	2
$R_7$	1	1	1
$R_8$	1	20	20
$R_9$	1	10	10
$G_a$	20	10	3
$G_b$	4	8	9
$G_c$	1	5	8
$G_d$	1	5	5

Table 5. Resource Placement Costs

the generated object code, and its review. The engineers cooperate with each other to finish these sub-sequential tasks.

Fig. 5 shows a workflow model of the above development process using PNR, where the engineers and the resources needed to accomplish the tasks are indicated. The reader may refer to [9] for a detailed description of the modeling concept.

We regard this workflow as a service specification and we derive the corresponding protocol specifications with minimum communication costs using the different cost criteria presented in the previous section. The specification for each PE in the derived protocol specification will correspond to the workflow in one site. Of course each engineer could be assigned only to one site.

We have developed an automated system to generate the ILP model and its constraints from the given specification in order to decide on an optimal resource allocation that min-

	site <sub>1</sub>	site <sub>2</sub>	site <sub>3</sub>	Time (second)
(a)	$G_d$	$G_a$	$G_b, G_c$	157
		$R_1$	$R_2, R_3, R_4, R_5, R_6, R_7, R_8, R_9$	
(b)	$G_b, G_c$	$G_a$	$G_d$	359
	$R_2, R_3, R_4, R_5, R_6, R_7$	$R_1, R_8, R_9$		
(c)	$G_a$	$G_d$	$G_b, G_c$	28
	$R_8$	$R_1$	$R_2, R_3, R_4, R_5, R_6, R_7, R_9$	
(d)	$G_d$	$G_a$	$G_b, G_c$	12
	$R_3$	$R_1$	$R_2, R_4, R_5, R_6, R_7, R_8, R_9$	
(e)	$G_d$	$G_a$	$G_b, G_c$	30
	$R_3$	$R_1$	$R_2, R_4, R_5, R_6, R_7, R_8, R_9$	

**Table 1. Optimal Resource Allocation and Derivation Time Using (a) the Number of Messages Costs, (b) the Channel Costs, (c) the Size of Message Costs, (d) the Execution Frequencies of Transitions Costs and (e) the Resource Placement Costs**

imizes the communication costs of the derived PE's. Then we have used the program "lp\_solve" on a Compaq XP1000 with Alpha 21264, to solve the optimization problem for the different cost criteria discussed above.

Table 1 contains the optimal resource allocation of the given specification and the time to decide them. The optimized costs are (a) the number of messages as in our ILP model of Section 4.2, (b) the channel costs depicted in Table 2, (c) the sizes of resources depicted in Table 3, (d) the execution frequencies of transitions depicted in Table 4 and (e) the resource placement costs depicted in Table 5. These experimental results show that our method can decide optimal resource allocations for various cost criteria in reasonable time.

## 7. Conclusion

In this paper, we have proposed a Petri net based method for deriving a protocol specification (distributed specification) from a given service specification, with an optimal allocation of resources that minimizes communication costs. The resource allocation problem is formulated using an integer linear programming model that can also use several reasonable cost criteria for deriving protocol specifications. We have also given an example application.

Our future work is to develop a distributed environment supporting our derivation method.

## References

- [1] T. Murata, "Petri Nets: Properties, Analysis and Applications," *Proc. IEEE*, Vol. 77, No. 4, pp. 541–580, 1989.
- [2] K. Saleh, "Synthesis of Communication Protocols: an Annotated Bibliography," *ACM SIGCOMM Comp. Comm. Review*, Vol. 26, No. 5, pp. 40–59, 1996.
- [3] C. Kant, T. Higashino and G. v. Bochmann, "Deriving Protocol Specifications from Service Specifications Written in LOTOS," *Distributed Computing*, Vol. 10, No. 1, pp. 29–47, 1996.
- [4] H. Yamaguchi, K. Okano, T. Higashino and K. Taniguchi, "Synthesis of Protocol Entities' Specifications from Service Specifications in a Petri Net Model with Registers," *Proc. ICDCS-15*, pp. 510–517, 1995.
- [5] H. Kahlouche and J. J. Girardot, "A Stepwise Requirement Based Approach for Synthesizing Protocol Specifications in an Interpreted Petri Net Model," *Proc. IN-FOCOM '96*, pp. 1165–1173, 1996.
- [6] A. Al-Dallal and K. Saleh, "Protocol Synthesis Using the Petri Net Model," *Prof. PDCS'97*, 1997.
- [7] M. Kapus-Koler, "Deriving Protocol Specifications from Service Specifications with Heterogeneous Timing Requirements," *Proc. 1991 Int. Conf. on Soft. Eng. for Real Time Systems*, pp. 266–270, 1991.
- [8] K. El-Fakih, H. Yamaguchi and G.v. Bochmann, "A Method and a Genetic Algorithm for Deriving Protocols for Distributed Applications with Minimum Communication Cost," *Proc. PDCS'99*, 1999.
- [9] Kellner, M. et al. : "ISPW-6 Software Process Example," *Proc. 1st Int. Conf. on Software Process*, pp. 176–186, 1991.